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Undrained shear behavior of loess saturated with different concentrations of sodium  
chloride solution

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1 Undrained shear behavior of loess saturated with different concentrations of sodium  
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4 **Abstract:** A series of ring-shear tests was conducted on saturated loess to investigate the  
5 effects of NaCl concentration in pore water and desalinization on the shear behavior under  
6 undrained conditions. The loess samples were taken from the ground surface of a frequently  
7 active landslide in China, were saturated by de-aired, distilled water with different  
8 concentrations of NaCl, and then were sheared undrained. After that, the samples were  
9 retrieved, remoulded, re-set into the shear box, and re-saturated by passing through de-aired,  
10 distilled water such that the samples were desalinized, and then were sheared undrained  
11 again. Through comparing the undrained shear behavior, the effects of NaCl in the  
12 pore-water and desalinization on the undrained shear behavior of loess were examined. The  
13 results showed that the variation of NaCl concentration in pore water can strongly affect the  
14 shear behavior of saturated loess. Both the peak shear strength and steady-state strength  
15 increased with increase of NaCl concentration until a certain value, after which they  
16 decreased with further increase of NaCl concentration. Meanwhile, the peak shear strength  
17 and steady-state strength of the desalinized samples recovered to those of the original  
18 sample; hence, the effects of salinization are reversible. These findings may be of practical  
19 importance to better understanding the repeated occurrence of some irrigation-induced loess  
20 landslides in China.

21 **Keywords:** sodium chloride; loess landslide; undrained shear behavior; irrigation

## 22 **1. Introduction**

23        Landslides are very serious geohazards in the Chinese Loess Plateau because they  
24 cause serious casualties and destruction almost every year. These loess landslides exhibit a  
25 great diversity of movement modes and rates (Derbyshire, 2001), ranging from  
26 imperceptibly continuous creep to instantaneously rapid flow. It has been recognized that  
27 the movement of most of these landslides is triggered by a reduction in shear strength in  
28 loess (Derbyshire et al., 1994; Dijkstra et al., 1994; Zhang and Wang, 2007; Zhang et al.,  
29 2009). Although water content has been identified as a key factor in influencing the shear  
30 strength behavior of loess (Gibbs and Holland, 1960; Derbyshire et al., 1994; Zhang et al.,  
31 2009; Picarelli, 2010), it has also been suggested that the salinity in pore water can strongly  
32 modify the shear strength of loess (Dijkstra et al., 1994). Furthermore, high salt  
33 concentrations in groundwater and significant soil salinization have been observed in the  
34 Chinese loess area, especially those areas involving agricultural irrigation (Chen et al., 1999;  
35 Long et al., 2007; Xu et al., 2011a).

36        The effect of salt concentration in pore water on shear strength has been investigated  
37 for better understanding the mechanism of slope failure in mudstone or clays (Steward and  
38 Cripps, 1983; Moore, 1991; Di Maio and Fenelli, 1994; Anson and Hawkins, 1998; Tiwari  
39 et al., 2005; Gajo and Maines, 2007; Wahid et al., 2011). These studies have found that  
40 changing pore water salinity can modify the shear strength of the soils. Although the effect  
41 of salt concentration on the shear strength of clays has thus been widely examined, studies  
42 on natural soils are rare and need further scrutiny (Di Maio, 1996), and little effort has been

43 made to understand salinity effects on shear strength of silty soil, such as loess. Furthermore,  
44 the effects of salt concentration on the initiation and movement of loess landslides remains  
45 unclear.

46 In this research a series of ring-shear tests was conducted on loess samples that were  
47 taken from the ground surface of an irrigation-induced landslide on Heifangtai terrace  
48 located 40 km west of Lanzhou City, Gansu Province, China (Fig. 1). The objective of this  
49 research is to investigate the possible effects of different concentrations of NaCl solution  
50 and desalinization on the shear behavior of saturated loess under undrained conditions,  
51 because we found that pore water chemistry fluctuates in the region from seasonal  
52 agricultural irrigation activities. We prepared the loess samples by saturating them using  
53 de-aired, distilled NaCl solutions with different concentrations, and then sheared them in  
54 undrained condition. After the tests, we retrieved and dried the samples and remoulded  
55 them into the shear box, re-saturated them by passing through de-aired, distilled water to  
56 remove NaCl from the samples, and then sheared them undrained. By comparing the  
57 undrained shear behaviors, the effects of NaCl solution as the pore fluid and desalinization  
58 were examined. Liquid limit, and scanning electron microscopy (SEM) images of loess  
59 samples saturated by NaCl solution were studied to examine the possible change in soil  
60 structure due to the addition of NaCl. Based on our findings, we analyzed the possible  
61 mechanisms for the repeated occurrence of landslides on the loess terrace of Heifangtai,  
62 China during irrigation periods.

63

## 64 **2 Study site**

65 Heifangtai terrace (Fig. 1) has an area of 13.7 km<sup>2</sup> and was built as a farm land for  
66 residents relocated there from the reservoir area created by the construction of Liujiaxia dam  
67 on the Yellow River. Farms on the terrace require irrigation from Yellow River, which began  
68 in 1969. The irrigated area is about 7.53 km<sup>2</sup> (Wang et al., 2004; Xu et al., 2011b). Normally,  
69 irrigation occurs during five events every year following the requirements for the crops, and  
70 the annual amount of irrigation water ranges between 6.0×10<sup>6</sup> m<sup>3</sup> - 8.0×10<sup>6</sup> m<sup>3</sup>, corresponding  
71 to a total depth of water of 438 - 584 mm. From 1971 to 2000, the annual precipitation  
72 averaged about 300 mm, 71% of which fell between June and September, while annual  
73 evaporation averaged approximately 1700 mm (Wang et al., 2004). Clearly, the irrigation  
74 water plays a critical role in recharge and variation of groundwater in the terrace.

75 The lithological profile of Heifangtai can be divided into four units (Fig. 2) (Wang et al.,  
76 2004; Xu et al., 2011b). The upper layer (about 25-50 m thick) is made up of Malan loess  
77 and Lishi loess. Malan and Lishi loess were accumulated during Holocene and Middle-Late  
78 Pleistocene, respectively. A clay layer (4~17 m thick) underlies the loess layer. Below the  
79 clay layer are alluvial deposits (2~5 m thick), consisting mainly of well-rounded pebbles sized  
80 approximately 5-10 cm in diameter. The bedrock is mainly composed of mudstone and sandy  
81 mudstone with minor sandstone and conglomerate.

82 The clay underlying the loess forms a nearly impermeable layer at Heifangtai, and springs  
83 flow out from the plateau face at the interface between the loess and clay layers. Largely due  
84 to long-term irrigation, a water bearing strata (about 20 m thick) has formed on the bottom of

85 the loess layer and colluvial deposits, and the volume of springs from the Heifangtai area rose  
86 from  $3.2 \times 10^4 \text{ m}^3$  before the irrigation to  $91.5 \times 10^4 \text{ m}^3$  in 2000 (Wang et al., 2004).  
87 Associated with the rising perched water table, loess landslides frequently occurred within the  
88 terrace since 1984, causing great loss of lives and properties. During the period of 1984 to  
89 2000, at least sixty large landslide events occurred, and more than half of them in March and  
90 July (Wang et al., 2004). The terrace has become a representative case of irrigation-induced  
91 loess landslides in the Chinese Loess Plateau.

92 Chemical composition analyses have shown that the concentrations of various ions in the  
93 irrigation water from Yellow River are lower than those in the groundwater, spring water, and  
94 soil of the Heifangtai terrace (Table 1) (Chen et al., 1999). Among those ions measured in  
95 terrace soils and water, sodion ( $\text{Na}^+$ ) and chloridion ( $\text{Cl}^-$ ) are predominant (>70% of the total  
96 ions). Concentrations are sufficiently high to form salt deposits that can be observed on the  
97 surface of soil layers on the toe part of the side slope of the terrace when the soil layers  
98 become dry (white colored parts in Fig. 3), while these deposits normally disappear during the  
99 irrigation season (Fig. 1c).

100

### 101 **3 Materials and methods**

#### 102 3.1 Test samples

103 To examine the possible effects of pore water NaCl on the shear behavior of loess, we  
104 took loess samples from the ground surface of a landslide in the Heifangtai terrace (Fig. 1b).  
105 The loess consists mainly of silt (about 94%, Fig. 4) with some clay (about 6%). The mean

106 particle diameter is 0.02 mm and the coefficient of uniformity is 5.0. The minerals are  
107 predominantly quartz and feldspar with a small amount of mica, kaolinite and illite (Zhang,  
108 2007). Some basic physical properties of the sample taken from the field are listed in Table 2.

109

### 110 3.2 Solutions

111 Because  $\text{Na}^+$  and  $\text{Cl}^-$  are the predominant ions in the groundwater, spring water, and soil  
112 mass (Table 1), we used solutions of sodium chloride (NaCl) to saturate the loess samples in  
113 this research. To examine the effect of salt concentration in pore water, NaCl was dissolved in  
114 de-aired distilled water to the desired concentrations (i.e., 3, 6, 10, 12, 14 and 16% by weight).  
115 Hereinafter, we term these NaCl solutions with the concentrations being 3%, 6%, 10%, 12%,  
116 14% and 16% as  $S_3$ ,  $S_6$ ,  $S_{10}$ ,  $S_{12}$ ,  $S_{14}$  and  $S_{16}$ , respectively, and term the de-aired distilled  
117 water as  $S_0$ .

### 118 3.3 Ring shear apparatus

119 The ring shear apparatus has been widely used in examining the residual shear strength of  
120 soils for the analysis of slope stability (e.g., Bishop et al., 1971; Bromhead, 1979; Sassa et al.,  
121 2004; Wang and Sassa, 2009; Wang et al., 2010). The ring shear apparatus employed in the  
122 present research is the fifth version (DPRI-5) developed by the Disaster Prevention Research  
123 Institute (DPRI), Kyoto University (Sassa et al., 2004), and has a shear box (Fig. 5) sized 120  
124 mm in inner diameter, 180 mm in outer diameter and 115 mm in height. This apparatus  
125 enables the simulation of many different kinds of static and dynamic loading under drained or  
126 undrained conditions. The samples can be using controlled torque or controlled shear speed.



127 Fig. 5 presents a schematic of this apparatus. The overview of the apparatus is shown in Fig.  
128 5a. The shear mode of a sample in the ring-shear apparatus is shown conceptually in Fig. 5b.  
129 The sample in the ring-shear box is doughnut shaped and is laterally confined between pairs  
130 of upper and lower confining rings. During the test, the sample is loaded normally through an  
131 annular loading platen connected to a load piston. The lower half of the shear box rotates in  
132 both directions, driven by a servomotor through a transmission system, while the upper part is  
133 kept steady by means of two retaining torque arms. The shear resistance is measured by  
134 means of these two torque arms. Fig. 5c illustrates an enlarged diagram of half of the cross  
135 section of the ring-shear box and the pore-water pressure measurement system. Further  
136 detailed information on ring shear tests can be found from relevant literature (e.g., Wang and  
137 Sassa, 2002; Sassa et al., 2003).

138

### 139 3.4 Testing program and procedure

140 Firstly, we performed a series of tests to examine the possible effect of NaCl  
141 concentration in pore water on the shear behavior of loess. In this series, seven samples ( $T_1$  to  
142  $T_7$  in Table 3) were prepared with the same initial void ratio and consolidating stress, but  
143 saturated by NaCl solution of different concentrations. It is noted that test  $T_7'$  in Table 3 was  
144 performed to ensure the repeatability of the test by using higher NaCl concentration, although  
145 at which the initial density of the sample differed from that in  $T_7$ .

146 Secondly, a series of experiments was performed to examine the possible effect of  
147 desalinization of the loess samples that had been saturated previously by NaCl solutions, and

148 also to check the reversibility of NaCl effects. The samples were retrieved carefully from the  
149 shear box after tests  $T_1 - T_7$  were finished, were oven-dried, disaggregated using a rubber  
150 hammer, replaced into the shear box, and finally re-saturated by passing through de-aired,  
151 distilled water to remove the NaCl solution introduced during tests  $T_1 - T_7$ . Six tests ( $T_8 - T_{13}$ ,  
152 Table 3) were conducted in this series with the initial void ratios and consolidating stresses  
153 being approximately the same as those in tests  $T_1 - T_7$ . During preparation for tests  $T_8 - T_{13}$ ,  
154 ~50 g of loess was added to each sample because of sample loss during retrieval from the  
155 specimen chamber following tests  $T_2 - T_7$ .

156 During the preparation of samples for each test series, distilled water was first added to  
157 the oven dried, disaggregated loess to reach an initial water content of 5%, and then the  
158 samples were stirred evenly by hand. Thereafter, the samples were sealed using thin plastic  
159 film and stored for 24 hours in an air-conditioned room to achieve uniform distribution of  
160 moisture. After that, the samples were placed into the shear box and prepared following the  
161 moist tamping method (Ishihara, 1993). To achieve uniform density, the samples were placed  
162 in three layers, and each layer was tamped such that a designed void ratio was achieved. The  
163 samples were saturated with the help of carbon dioxide and de-aired NaCl solutions for the  
164 first test series, and carbon dioxide and de-aired, distilled water for the second series. In all  
165 the tests, the degree of saturation was checked by measuring the  $B_D$  parameter, which was  
166 proposed by Sassa (1985) for use in the direct-shear state. The  $B_D$  is defined as the ratio  
167 between the increment of generated excess pore pressure ( $\Delta u$ ) and normal stress ( $\Delta \sigma$ ) in the  
168 undrained condition, and formulated as  $B_D = \Delta u / \Delta \sigma$ . If  $B_D \geq 0.95$ , this indicates that the

169 sample is approximately fully saturated. In this study, all samples were saturated with  $B_D \geq$   
170 0.95. After checking the  $B_D$  parameter, the sample was consolidated under a normal stress of  
171 250 kPa without applying any shear stress, and then was sheared by increasing the shear stress  
172 at a loading rate of 0.098 kPa/s ( $0.001 \text{ kgf}\cdot\text{cm}^{-2}\cdot\text{s}^{-1}$ ) under undrained condition. All of the  
173 samples were sheared to a large shear distance (about 1 m), beyond which excess pore-water  
174 pressure and shear resistance were constant; hence, the samples were sheared to the steady  
175 state as defined by Poulos (1981).

176

## 177 **4 Results**

178 All the test results are summarized in Table 3. In all of the undrained shear tests, the  
179 samples exhibited fully contractive behavior throughout the entire shearing process, i.e., the  
180 pore pressure continuously increased with the progress of shearing.

181

### 182 **4.1 Typical undrained shear behavior**

183 To exemplify the shear behavior observed in all tests, the results of three tests ( $T_1$ ,  $T_5$  and  
184  $T_{11}$  in Table 3) are presented in Figs. 6 - 8. These tests were performed using  $S_0$  ( $T_1$ , Fig. 6),  
185  $S_{12}$  ( $T_5$ , Fig. 7), and  $S_0$  after desalinizing the  $T_5$  sample ( $T_{11}$ , Fig. 8). Figs. 6a, 7a and 8a  
186 present the normal stress, shear resistance, and pore pressure against shear displacement, and  
187 Figs. 6b, 7b and 8b plot the time series data of normal stress, shear resistance, and pore  
188 pressure. In Figs 6a, 7a and 8a, to facilitate a clearer view of the generation of pore pressure  
189 accompanying the shear displacement in the initial shearing period, a logarithmic abscissa of

190 shear displacement was used for displacement  $\leq 0.1$  m was taken, and a linear abscissa was  
191 used above this value to show that the test had been sheared to steady state (point SSP). It can  
192 be seen that some pore-water pressure was built-up before the peak shear strength was  
193 mobilized (point F), while after the onset of failure, pore-water pressure showed a sharp  
194 increase and shear strength underwent a quick reduction. This period is usually known as the  
195 collapse period, mainly due to the failure of the meta-stable structure (Wang and Sassa, 2002).  
196 Afterwards, with further increase in shear displacement, pore-water pressure and shear  
197 resistance gradually trended to constant levels. Figs. 6c, 7c and 8c illustrate the effective  
198 stress path. It can be seen that in each test, the effective stress path tended leftward with  
199 increasing shear stress, and finally reached their respective peak shear strength (point F),  
200 thereafter the path descended towards its steady-state strength (point SSP). The results shown  
201 in Figs. 6-8 reveal that NaCl in pore water can influence the undrained shear behavior of  
202 saturated loess, and the influence of salinization can also be eliminated by desalinization.

203

#### 204 **4.2 Effects of NaCl concentration**

205 Seven samples (T<sub>1</sub>-T<sub>7</sub>, Table 3) were prepared at the same initial void ratio but saturated by  
206 different de-aired solutions of S<sub>0</sub>, S<sub>3</sub>, S<sub>6</sub>, S<sub>10</sub>, S<sub>12</sub>, S<sub>14</sub>, and S<sub>16</sub>, respectively, to examine the  
207 effect of NaCl concentration in pore water on the undrained shear behavior. Test results are  
208 provided in Fig. 9.

209 Figs. 9a-b present the corresponding variation of shear resistance and pore-water pressure  
210 with shear displacement, and Fig. 9c plots the effective stress paths. From Figs. 9a-b, we

211 found that at a given shear displacement, the corresponding shear resistance became greater  
212 and the excess pore water pressure became smaller with increasing NaCl concentration in the  
213 solution from 0% to 12%. However, with further increase of NaCl concentration, shear  
214 resistance became smaller and excess pore-water pressure became greater. The peak and  
215 residual shear strengths showed the same relations to variations of NaCl concentration. At  
216 NaCl concentrations of 0-12%, the peak shear strength and steady-state shear strength  
217 increased with increasing NaCl concentration (Fig. 9c), whereas peak and steady-state shear  
218 strength decreased with increasing NaCl concentration greater than 12%. In addition, the  
219 effective stress paths showed an arc and convex shape for all of the seven tests (i.e., T<sub>1</sub> to T<sub>7</sub>),  
220 although test T<sub>5</sub> results displayed a more abrupt peak than the others. The results indicate that  
221 undrained shear behavior of saturated loess is sensitive to the NaCl concentrations of  
222 pore-water.

223

#### 224 **4.3 Effects of desalinization**

225 Shear tests on clay have demonstrated that the shear strength decreases with decreasing  
226 pore water salt concentration due to desalinization (Di Maio and Fenelli, 1994; Di Maio,  
227 1996; Tiwari et al., 2005, Wen and He, 2012). However, the effect of desalinization on the  
228 shear behavior of loess is unclear. To study the effects of desalinization on the undrained  
229 shear behavior of loess and to examine the reversibility of NaCl effects, a series of tests was  
230 conducted involving desalinization of samples retrieved following tests T<sub>2</sub>-T<sub>7</sub>. The  
231 desalinization process was conducted by saturating the salty samples with de-aired distilled

232 water. Six tests were performed and their results are summarized in Table 3, and presented in  
233 Fig. 10, where the results from test T1 are also included.

234 Figs. 10a and 10c show that the undrained shear behaviors for the tests were very similar,  
235 although small differences exist. These differences may result from small variations of initial  
236 densities between the tests, and also from possible incompleteness of the desalinization process.  
237 Figs. 9 and 10 indicate that desalinization reduces shear strength, while the process of  
238 salinization followed by desalinization has very little, if any, influence on the undrained shear  
239 behavior. Hence, desalinization can lower the shear strength of loess saturated by NaCl  
240 solutions, and the effects of salinization are reversible. These findings are consistent with  
241 those for clays with NaCl solutions as pore water (Di Maio and Fenelli, 1994; Di Maio, 1996;  
242 Tiwari et al., 2005).

243

## 244 **5. Discussion**

### 245 **5.1 Undrained peak shear strength and steady-state strength**

246 The undrained peak shear strength is usually considered to reflect the potential  
247 resistance to liquefaction for a saturated sample, whereas the steady-state strength plays an  
248 important role in the post-failure behavior of a liquefied soil mass (Wang et al., 2007). It  
249 has been found that the peak shear strength is dependent on the initial stress state and the  
250 density of a soil (Kramer, 1988; Wang et al., 2007), as well as the fine particle content of a  
251 soil (Wang et al., 2007); whereas the steady-state strength is related only to the type of the  
252 soil and its density, and is independent of the initial stress state (Poulos et al., 1985; Wang

253 and Sassa, 2002; Wang et al., 2007). Because all of the tests in this research were performed  
254 using the same soil under the same initial stress state and nearly identical density, variations  
255 of peak shear strengths and steady-state strengths are considered to result from variations in  
256 NaCl concentrations in the pore water (Table 3).

257 The peak shear strength and the steady-state strength for tests  $T_1$  to  $T_7'$  listed in Table 3  
258 are plotted against NaCl concentration in Fig. 11. It can be seen that the peak shear strength  
259 and the steady-state strength initially increased with increasing NaCl concentration until a  
260 maximum resistance was reached at the NaCl concentration of 12%, and then decreased  
261 with further increase of NaCl concentration. It is noted that the difference in the shear  
262 strength for tests  $T_7$  and  $T_7'$  may result from the difference in their initial density. Normally,  
263 denser sample will have greater peak and residual undrained shear strengths when subjected  
264 to undrained shearing (Ishihara, 1993). Therefore, we concluded that test  $T_7'$  indirectly  
265 confirmed the repeatability of test 7.

266 The peak shear strength and the steady-state strength for tests  $T_1$  and  $T_8$  to  $T_{13}$  are  
267 plotted in Fig. 12 against the NaCl concentration that existed prior to desalinization and  
268 performance of the tests. It can be seen that the peak shear strengths and steady-state shear  
269 strengths show very little variation, unlike the significant variations in strengths observed  
270 during tests of salinized samples (Fig. 11). Therefore, from Fig. 12, we can conclude that  
271 the effects of salinization are reversible through desalinization.

272

## 273 **5.2 Physicochemical effects**

274 It has been found that when the clay content in a soil is more than 10%, changes in pore  
275 water chemistry can greatly influence the shear strength of the soil (Mori, 1964; Moore,  
276 1991; Mitchell and Soga, 2005). Studies of the shear behavior of clays have found that the  
277 variation of shear strength with salt concentration is related to the physicochemical  
278 interactions between clay particles (Kenney, 1967; Ramiah et al., 1970; Moore, 1991; Di  
279 Maio and Fenelli, 1994; Anson and Hawkins, 1998; Tiwari et al., 2005; Gajo and Maines,  
280 2007). The physicochemical interactions can be greatly influenced by the specific surface  
281 area, which is related to the grain size distribution of the soil (Lambe and Whitman, 1969;  
282 Moore, 1991; Santamarina *et al.*, 2002; Mitchell and Soga, 2005). Generally, the smaller the  
283 particle, the greater its specific surface area. It is also known that the shear strength of a soil  
284 depends on the shear resistance at contacts between particles and the interlocking of  
285 particles. The interlocking of particles is mainly related to the packing density, and the  
286 physicochemical interactions between clay particles affect the number and the resistance of  
287 particle contacts, and thus affect the total shear strength (Lambe and Whitman, 1969;  
288 Dieterich and Kilgore, 1994).

289 Our test results showed that the undrained shear strength of loess with only 6% clay is  
290 also very sensitive to the pore water chemistry. Because we prepared all the samples with  
291 nearly identical initial densities and also sheared them under the same stress state, NaCl  
292 concentration in pore water was the only variable that could have caused strength variations.

293 The increasing tendency of both peak and residual shear strengths with increase of NaCl  
294 concentration (before a NaCl of ~ 12%) can be explained as follows. The loess is basically



295 an assembly of silt grains with clay particles being the dominant bonds. When this loess is  
296 saturated by NaCl solution, due to the inward diffusion of the salt into the clay, thickness of  
297 the double layer of clay particles decreases with increase in NaCl concentration in pore fluid.  
298 This process will result in ion diffusion into the fluid, causing decrease of the osmotic  
299 repulsion and increase of Van der Waals's attractive forces among clays (Barbour and  
300 Fredlund, 1989; Mitchell, 1993; Tiwari, et al., 2005; Wen and He, 2012). However, when  
301 these processes increase interparticle forces among clays, they also lead to aggregation of  
302 particles coarser than clay (Tiwari, et al., 2005; Wen and He, 2012). Bigger aggregates or  
303 cluster of aggregates are formed when NaCl concentration becomes greater. When the  
304 bonding between coarse grains is dominated by these aggregates, larger inter-aggregate pore  
305 can be formed, basically changing the fabric of sample, and then changing the undrained  
306 shear behavior. Recently studies have revealed that besides of initial void ratio, fabric of the  
307 sample also plays key role in the shear behavior of sands (Oda, 1972a,b; Ladd, 1974;  
308 Tatsuoka et al., 1979; Zlatovic and Ishihara, 1997; Yamamuro and Lade, 1999).

309 To observe the variation of aggregate cluster formation with NaCl concentration, we  
310 collected samples from the layer above the shear zone of each test specimen, and observed  
311 their microstructures by using SEM techniques. Fig. 13 presents some of the SEM images,  
312 which show that salt bonding between clay particles replaces the existing bonding of water  
313 as NaCl concentration increases. This will result in aggregation of particles to sizes coarser  
314 than clay, which elevates the shear resistance. A similar principle had been proposed to  
315 explain observed variation of residual shear strength of clayey soils with different pore

316 fluids (Sridharan and Jayadeva, 1982; Sridharan and Prakash, 1999; Wen and He, 2012).  
317 During our study, above a NaCl of ~ 12%, additional NaCl resulted in the formation of  
318 aggregate clusters, which led to the formation of larger void spaces (see Figs. 13 e and f),  
319 change the fabric of the sample. In this case, the shear behavior of the sample will be  
320 dominated by the shear failure of aggregate clusters and previous studies found that soil  
321 with relatively larger aggregates was weaker than soil with small aggregates (McDowell  
322 and Bolton, 1998; Iverson et al., 2010).

323 Desalinized specimens had nearly identical shear strength (Fig. 12) as the original sample.  
324 The reversibility of the salinization effects is due to dilution or removal of NaCl by distilled  
325 water. The reversible behavior suggests that the addition of NaCl does not produce any  
326 cation-exchange phenomenon, because cation exchange would cause at least partial  
327 irreversibility (Di Maio, 1996, 1998).

328 Liquid limits of loess saturated by different NaCl solutions are plotted in Fig. 14. Liquid  
329 limit is often used to understand effects of the variation of pore water chemistry on soil  
330 shear strength, permeability and structure (Bowders and Daniel, 1987; Moore, 1991; Anson  
331 and Hawkins, 1998; Gratchev and Sassa, 2009). Many previous studies on clays showed  
332 that an increase in salt concentration will cause a decrease in liquid limit, and concurrently  
333 an increase in shear strength (Kenney, 1967; Moore, 1991, 1992; Di Maio and Fenelli,  
334 1994; Di Maio, 1996; Anson and Hawkins, 1998; Tiwari et al., 2005; Gajo and Maines,  
335 2007; Wahid et al., 2011). This kind of variation of liquid limit with pore water chemistry  
336 may result from the change in clay microstructure or physicochemical forces between clay

337 particles due to the physicochemical effects (Moore, 1991; Di Maio and Fenelli, 1994).  
338 However, Fig.15 indicates that NaCl concentration had negligible effect on liquid limit, if  
339 any. This may be due to the fact that the loess has a low clay fraction (about 6%), so the  
340 effects of the pore water chemistry on the clay has little effect on properties of the loess as a  
341 whole. From this point of view, we may conclude that liquid limit can not be always used as  
342 a qualitative indicator to evaluate the change in shear strength with pore water chemistry,  
343 because it depends mainly on the clay content and mineralogy of the soils.

344

### 345 **5.3 Implications for irrigation-induced loess landslides**

346 As shown in Figs. 9-11, the change in the concentration of salt in pore water can modify  
347 the shear behavior of loess, and thus influence the initiation and movement of loess  
348 landslides due to desalinization from irrigation. In the case of Heifangtai terrace, the  
349 long-term irrigation can elevate the groundwater table and decrease the NaCl concentration  
350 of the groundwater. Elevation of the groundwater table can reduce the effective normal  
351 stress and, consequently, lower the shear strength. Decreasing the NaCl concentration can  
352 lower both the peak and steady-state shear strength of loess as shown herein. In this sense,  
353 irrigation played a dual role in triggering landslides in the Heifangtai area. Landslides began  
354 occurring in the Heifangtai area about 20 years after the start of irrigation. This delay may  
355 be due to relatively low permeability of the thick loess layer and high evaporation that may  
356 have retarded the rise of the groundwater table. Also, desalinization from irrigation was  
357 likely time consuming and partly resulted in the delayed occurrence of the loess landslides.

358 The seasonality of landslides in the Heifangtai area supports the conclusion that they are  
359 caused by desalinization and consequent strength loss; landslides mostly occur during  
360 March and July when irrigation and rainfall amounts are greatest.

361

## 362 **6. Conclusions**

363 A series of ring-shear tests was conducted on Chinese loess to assess the effects of  
364 NaCl concentration in pore water on its undrained shear behavior. Based on the test results,  
365 the following conclusions can be drawn:

366 (1) The undrained shear behavior of saturated loess is sensitive to the concentration of  
367 NaCl in pore water, and the variation of NaCl concentrations has a significant influence on  
368 both the peak shear strength and steady-state strength.

369 (2) The peak shear strength and steady-state strength increase as pore water NaCl  
370 concentration increases to 12% by weight. Above this concentration, both strengths  
371 decrease with further increase in the NaCl concentration.

372 (3) The properties of salinized loess are reversible by desalinization. After being  
373 desalinized, the loess samples showed almost identical shear behavior to that of the original,  
374 non-salinized loess sample.

375 (4) The periodic irrigation of the Heifangtai area may change the NaCl concentration in  
376 the groundwater and, hence, the shear strength of the loess. With irrigation and abundant  
377 rainfall, desalinization occurs along with consequent lowering of peak shear strength, which

378 may facilitate the triggering of landslides. Lowered steady-state shear strength accompanies  
379 desalinization and may elevate the mobility of the landslides.

380

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389

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## Captions:

Fig. 1. (a) Location of study site; (b) a wide view of the landslides in Heifangtai area; (c) close-up view of a landslide that reactivated in 2008 (Photos on July 15, 2008).

Fig. 2. Lithological profile of Heifangtai area

Fig. 3. (a) Wide view of Heifangtai side slope area during winter with salt deposition (white colored parts); (b) Close-up view of the salt deposition (Photos on November 12, 2011).

Fig. 4. Grain size distribution of loess sample

Fig. 5. Ring-shear apparatus DPRI-Ver.5. (a) Overview; (b) sample in ring-shear box; (c) cross section through the center of the shear box

Fig. 6. Undrained ring shear test on sample saturated by distilled de-aired water ( $T_1$ ). (a) Normal stress, pore pressure, and shear resistance against shear displacement; (b) time series data; (c) effective stress path. F indicates conditions at failure and SSP indicates steady-state conditions.

Fig. 7. Undrained ring shear test on sample saturated by de-aired solution with NaCl concentration being 12% ( $T_5$ ). (a) Normal stress, pore pressure, and shear resistance against shear displacement; (b) time series data; (c) effective stress path. F indicates conditions at failure and SSP indicates steady-state conditions.

Fig. 8. Undrained ring shear test ( $T_{11}$ ) on the desalinized sample that was retrieved from test  $T_5$ . (a) Normal stress, pore pressure, and shear resistance against shear displacement; (b) time series data; (c) effective stress path. F indicates conditions at failure and SSP indicates steady-state conditions.

Fig. 9. Undrained shear test results for samples saturated by de-aired solution with different NaCl concentrations ( $T_1$ - $T_7$ ). (a) Shear resistance versus shear displacement; (b) monitored pore-water pressure versus shear displacement; (c) effective stress paths.

Fig. 10. Undrained shear test results for desalinized retrieved samples ( $T_8$ - $T_{13}$ ) and the original sample ( $T_1$ ). (a) Shear resistance versus shear displacement; (b) pore pressure versus shear displacement; (c) effective stress paths. NaCl concentrations prior to desalinization and testing are indicated.

Fig. 11. Undrained peak shear strength and shear strength at steady state against NaCl concentrations (tests  $T_1$ - $T_7$  in Table 3).

Fig. 12. Results of undrained shear tests on the desalinized samples that were retrieved from tests  $T_2$ - $T_7$ . Here the initial NaCl concentration (%) indicates that of the solution used to saturate the samples in  $T_2$ - $T_7$ , respectively.

Fig. 13. SEM imaging of the samples saturated by different NaCl concentrations: (a) 0%; (b) 10%; (c) 12%; (d) 16%

Fig. 14. Liquid limit against NaCl concentration

Table 1. Chemical composition of irrigation water, spring water, groundwater and loess in Heifangtai area

Ion type	Irrigation water (Chen et al., 1999)		Spring water (Chen et al., 1999)		Groundwater (Chen et al., 1999)		Loess	
	mg/l	%	mg/l	%	mg/l	%	mg/kg	%
Na <sup>+</sup>	8.74	3.12	17112.24	30.02	13176.4	28.63	2521	31.09
K <sup>+</sup>	2.6	0.93	28.03	0.05	23.8	0.05	20	0.25
Ca <sup>2+</sup>	45.53	16.26	1077.48	1.89	873.74	1.90	204	2.52
Mg <sup>2+</sup>	16.58	5.92	2614.41	4.59	1999.09	4.34	93	1.15
Cl <sup>-</sup>	43.68	15.60	27677.56	48.55	21464.98	46.64	2629	32.42
SO <sub>4</sub> <sup>2-</sup>	34.39	12.28	8424.46	14.78	8386.04	18.22	2450	30.22
HCO <sub>3</sub> <sup>3-</sup>	128.53	45.90	75.1	0.13	98.96	0.22	191	2.36
Sum	280.5	100.00	57009.08	100.00	46023.01	100.00	8108.00	100.00
PH	8.46		7.94		7.90		8.16	

Table 2. Some physical properties of loess used in this study

Property	Value
Specific gravity (Gs)	2.76
Initial moist bulk density (g/cm <sup>3</sup> )	1.53
Initial water content (%)	6.50
Initial void ratio	1.05
Liquid limit (%)	26.55
Plastic limit (%)	15.98
Plasticity index (%)	10.57

Table 3. Summary of ring-shear test results

Test No.	Consolidated state						State value	
	s	$\rho_d$	e	$B_D$	$\sigma_i$	$\tau_i$	$\tau_p$	$\tau_r$
Saturated by solutions with differing NaCl by weight								
T <sub>1</sub>	0	1.577	0.750	0.98	250	0	71.9	32.0
T <sub>2</sub>	3	1.577	0.750	1.00	250	0	74.6	40.4
T <sub>3</sub>	6	1.573	0.754	1.00	250	0	79.6	47.6
T <sub>4</sub>	10	1.575	0.753	1.00	250	0	78.7	48.6
T <sub>5</sub>	12	1.575	0.753	1.00	250	0	125.9	57.7
T <sub>6</sub>	14	1.577	0.750	0.98	250	0	91.5	52.9
T <sub>7</sub>	16	1.575	0.752	0.99	250	0	68.3	23.4
T <sub>7'</sub>	16	1.593	0.733	0.99	250	0	82.6	33.6
Desalinization								
T <sub>8</sub>	3*	1.576	0.751	1.00	250	0	72.5	31.5
T <sub>9</sub>	6*	1.579	0.748	0.98	250	0	68.7	34.2
T <sub>10</sub>	10*	1.578	0.749	1.00	250	0	66.1	29.9
T <sub>11</sub>	12*	1.580	0.747	1.00	250	0	72.0	31.5
T <sub>12</sub>	14*	1.578	0.749	1.00	250	0	71.4	32.0
T <sub>13</sub>	16*	1.577	0.750	1.00	250	0	68.8	31.5

Note: All stress in kPa. s: NaCl concentration (3 denotes 3% of NaCl in the solution by weight; 3\* denotes that the sample was retrieved from the former test that used a solution with 3% of NaCl by weight);  $\rho_d$ : dry density; e: void ratio after consolidation;  $B_D$ : parameter of saturation;  $\sigma_i$ : initial normal stress;  $\tau_i$ : initial shear stress;  $\tau_p$ : peak shear strength;  $\tau_r$ : shear strength at steady-state.

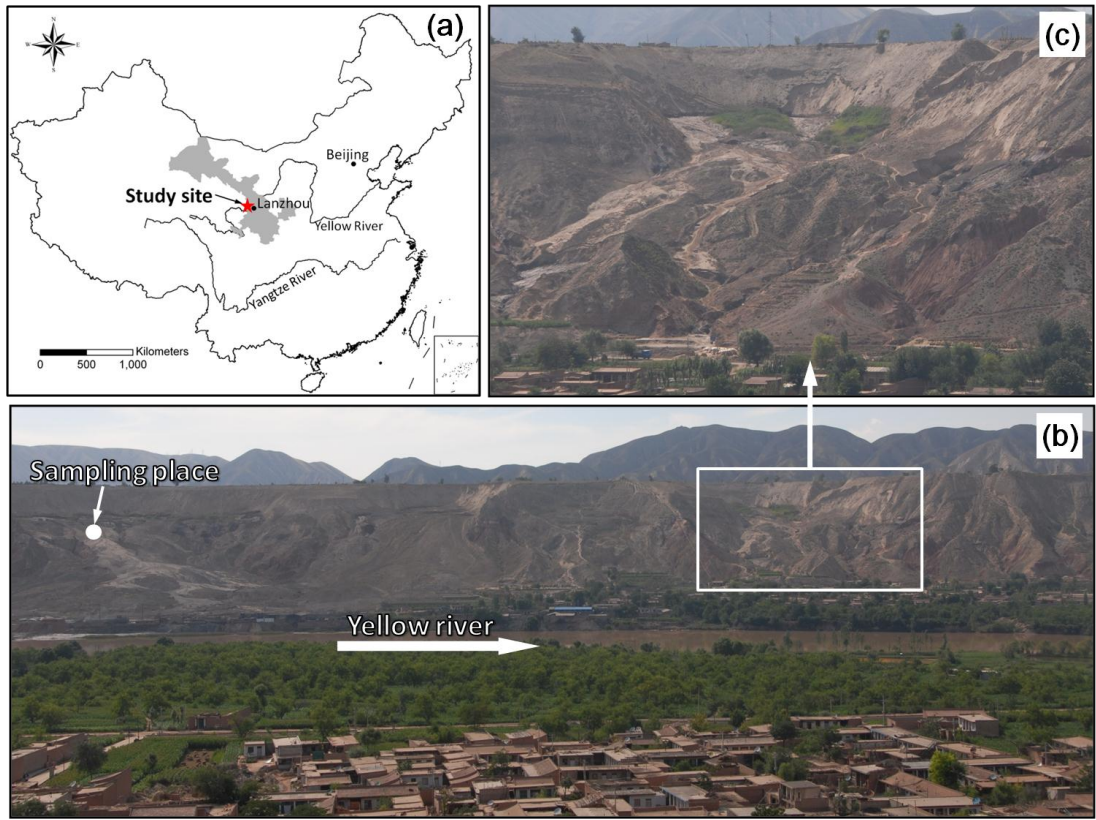


Fig. 1. (a) Location of study site; (b) a wide view of the landslides in Heifangtai area; (c) close-up view of a landslide that reactivated in 2008 (Photos on July 15, 2008).

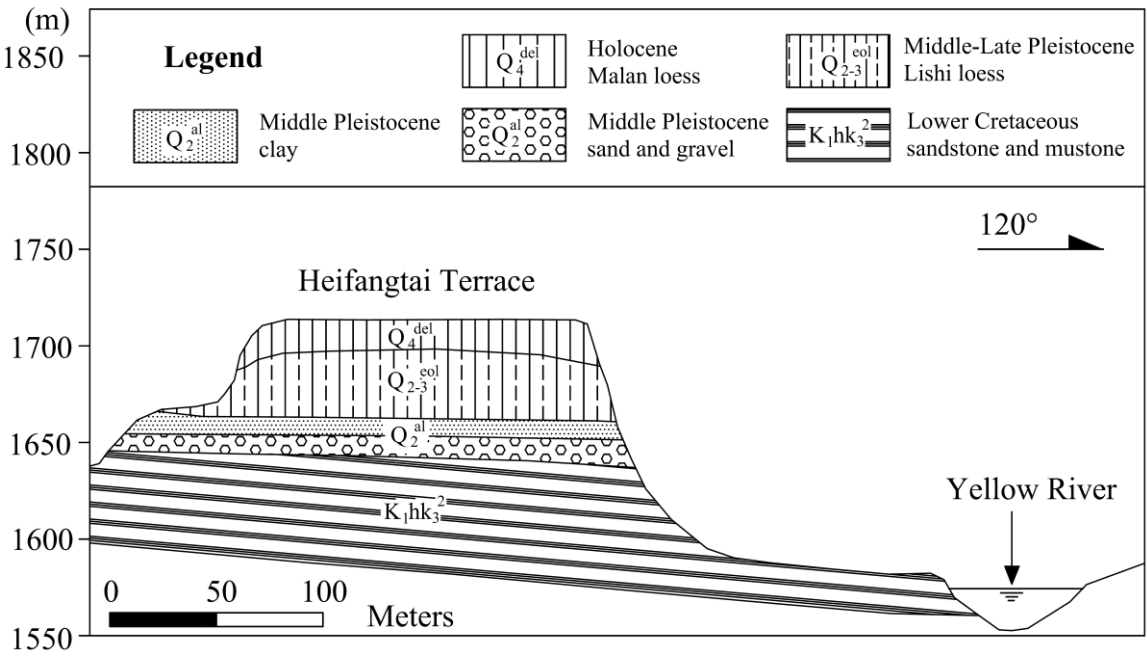


Fig. 2. Lithological profile of Heifangtai area

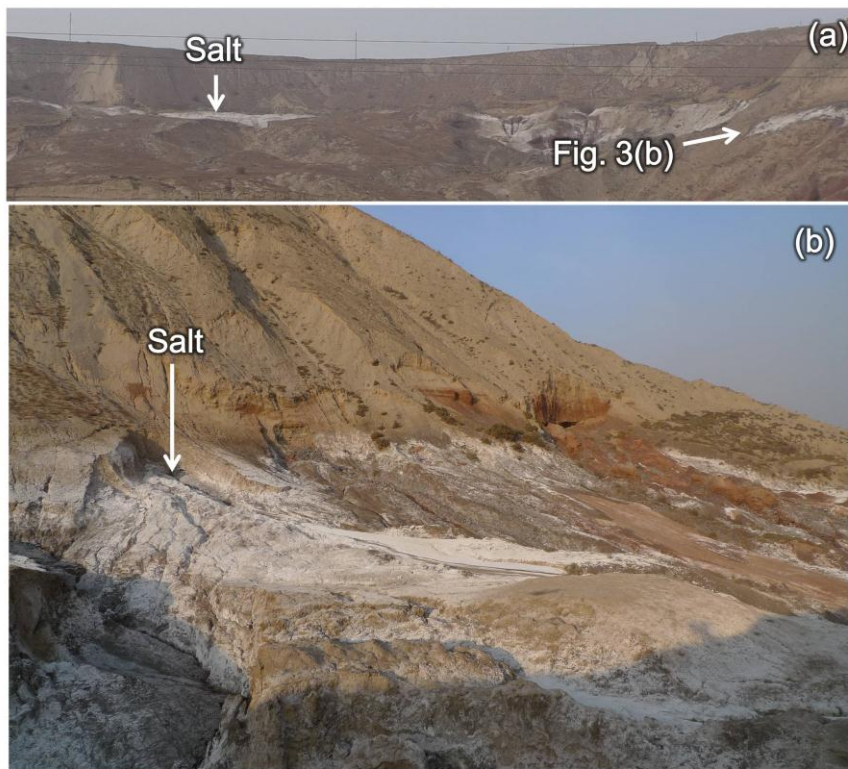


Fig. 3. (a) Wide view of Heifangtai side slope area on winter with salt deposition (white colored parts); (b) Close-up view of the salt deposition (Photos on November 12, 2011).

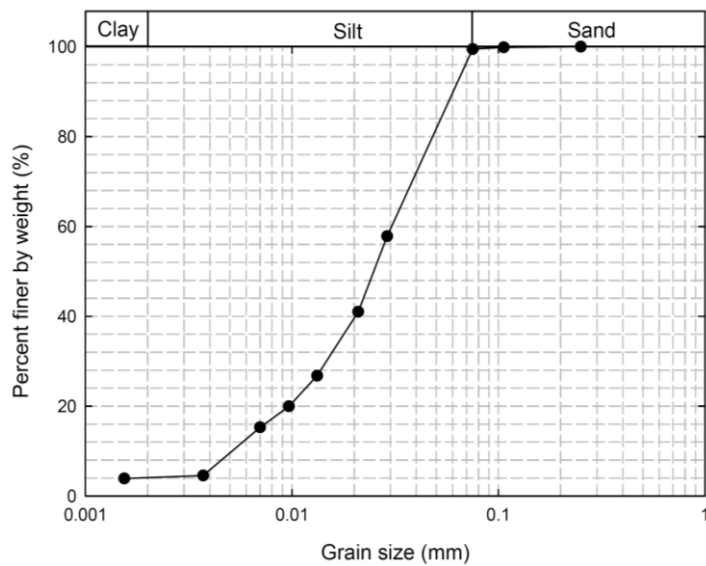


Fig. 4. Grain size distribution of loess sample

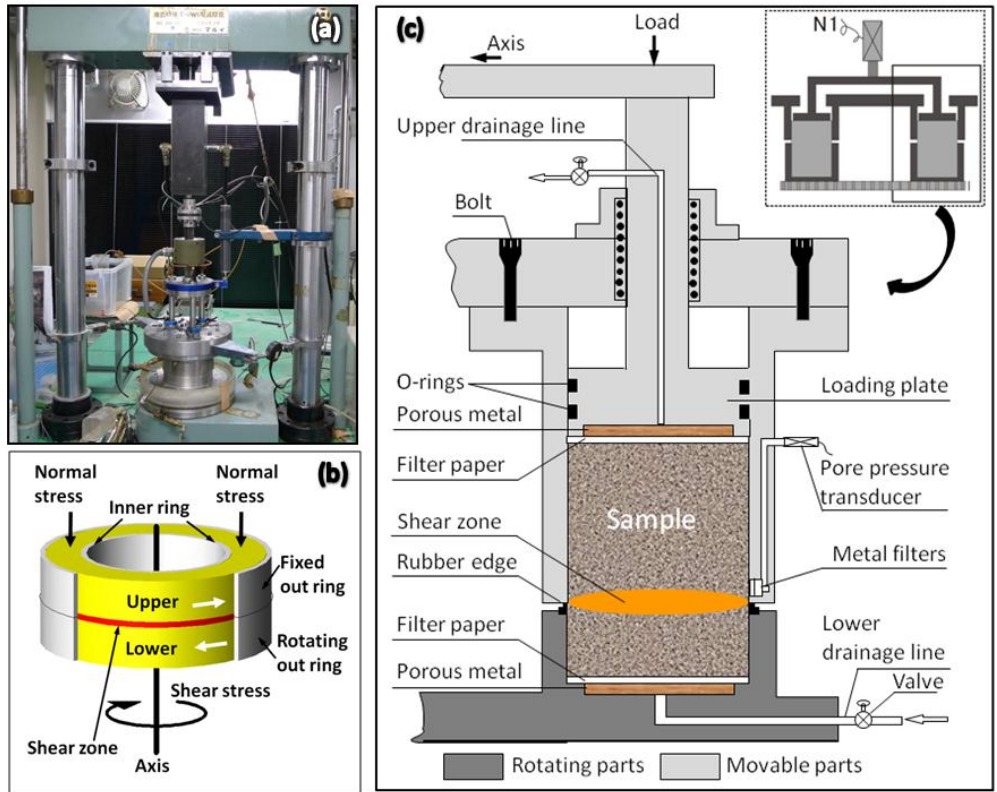


Fig. 5. Ring-shear apparatus DPRI-Ver.5. (a) Overview; (b) sample in ring-shear box; (c) half of the cross section through center of undrained shear box



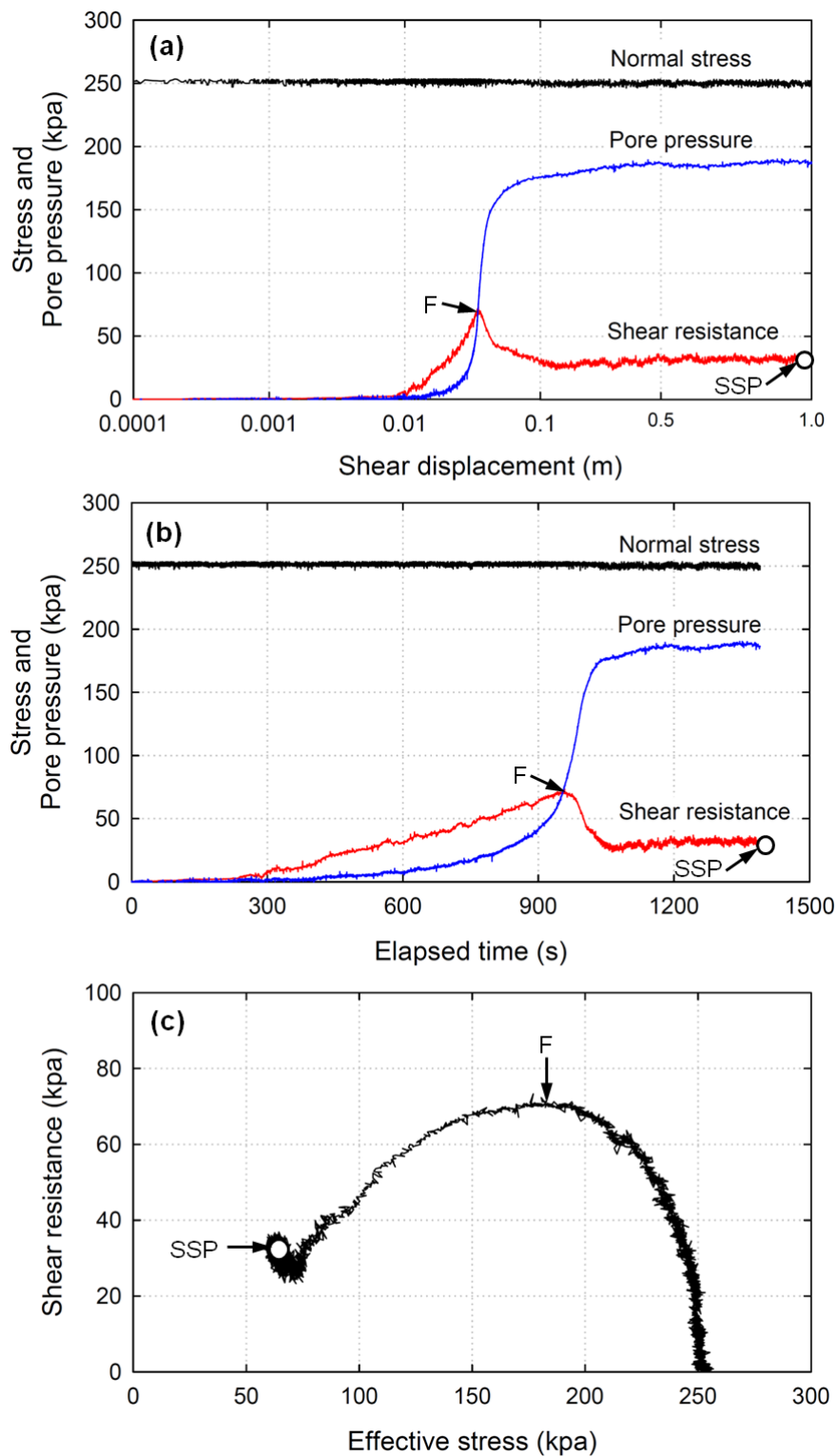


Fig. 6. Undrained ring shear test on sample saturated by distilled de-aired water ( $T_1$ ). (a) Normal stress, pore pressure, and shear resistance against shear displacement; (b) time series data; (c) effective stress path.

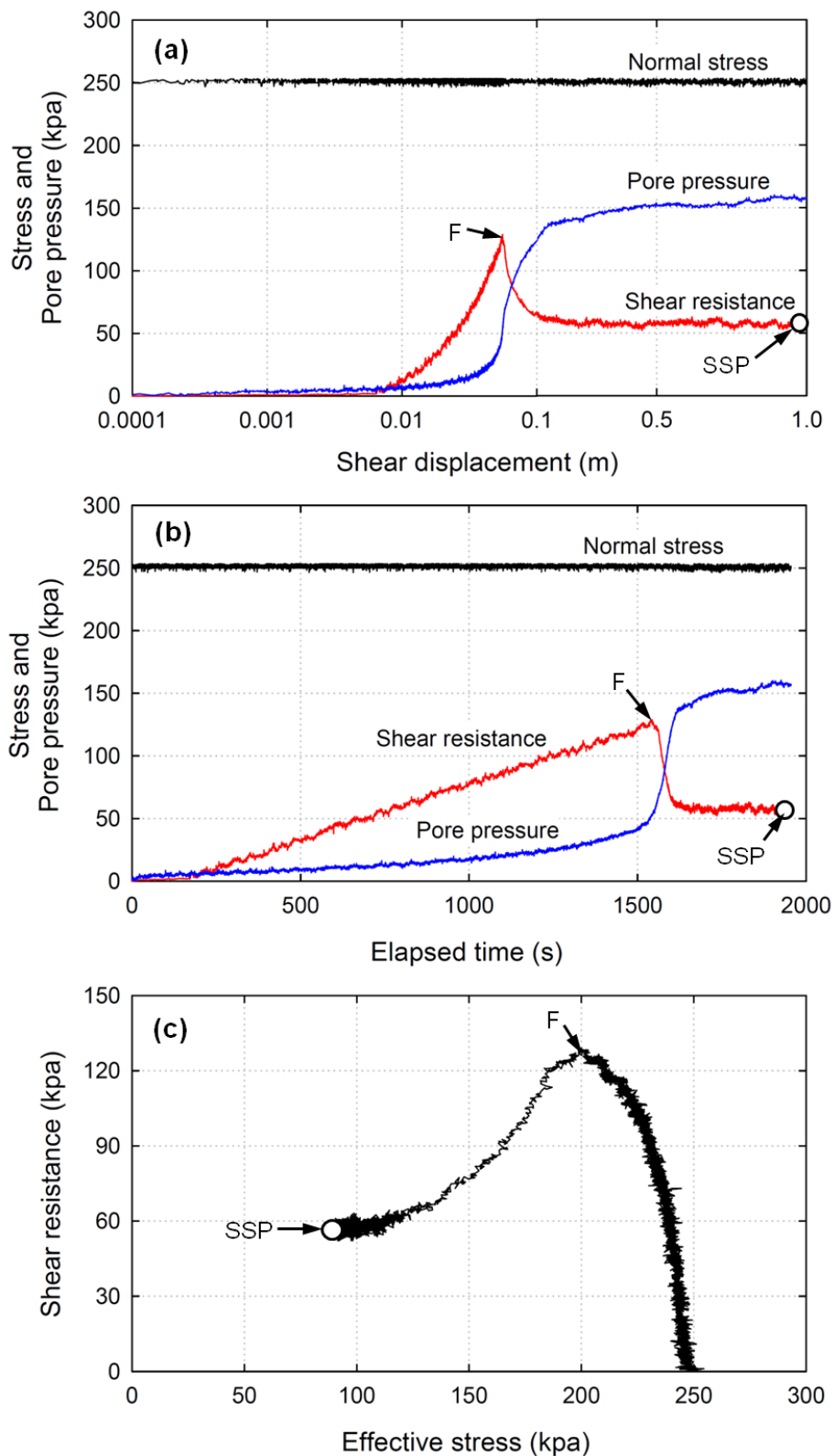


Fig. 7. Undrained ring shear test on sample saturated by de-aired solution with NaCl concentration being 12% ( $T_5$ ). (a) Normal stress, pore pressure, and shear resistance against shear displacement; (b) time series data; (c) effective stress path.

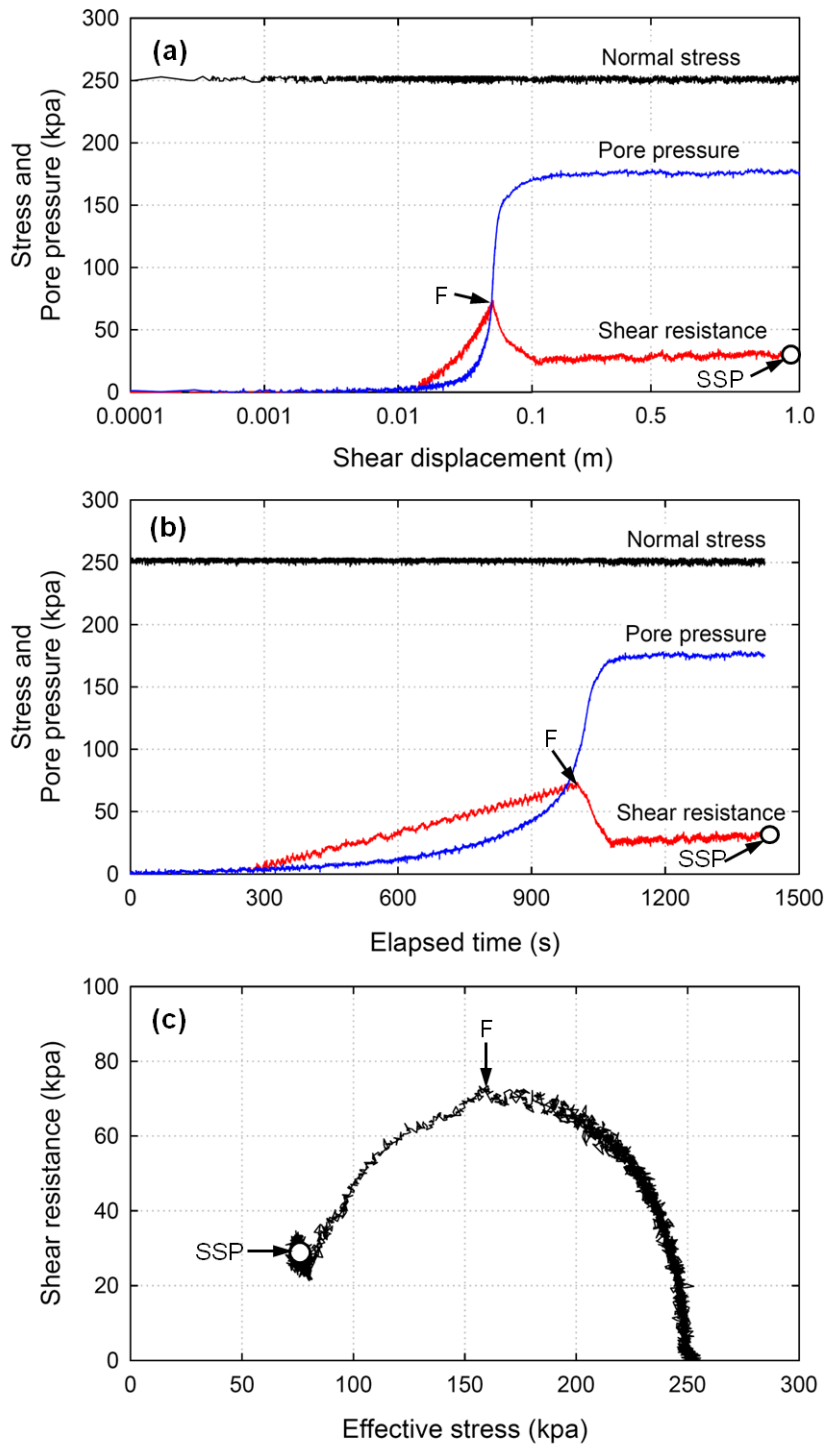


Fig. 8. Undrained ring shear test ( $T_{11}$ ) on the desalinated sample that was retrieved from test  $T_5$ . (a) Normal stress, pore pressure, and shear resistance against shear displacement; (b) time series data; (c) effective stress path.

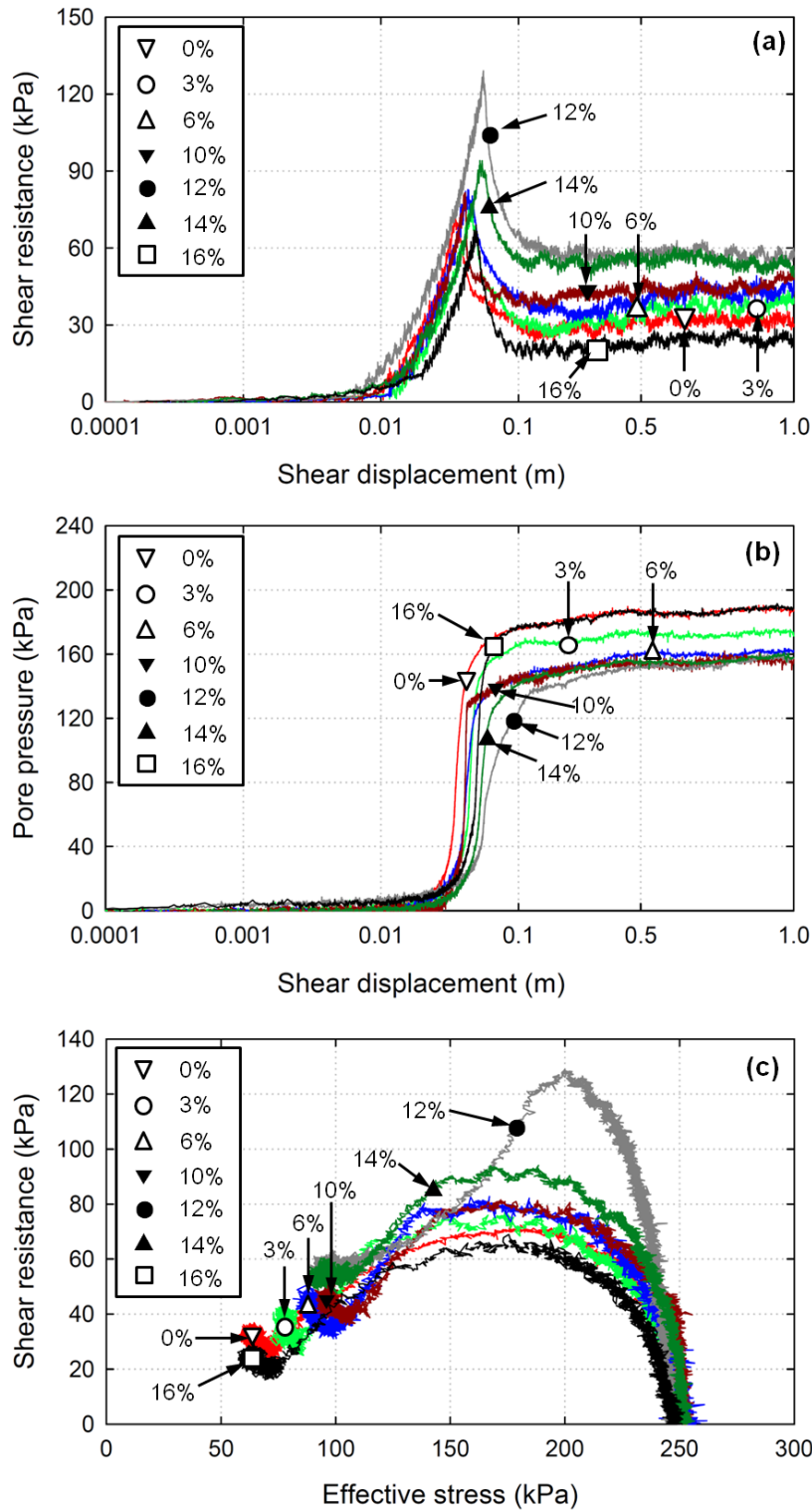


Fig. 9. Undrained shear test results for samples saturated by de-aired solution with different NaCl concentrations ( $T_1$ - $T_7$ ). (a) Shear resistance versus shear displacement; (b) monitored pore-water pressure versus shear displacement; (c) effective stress paths.

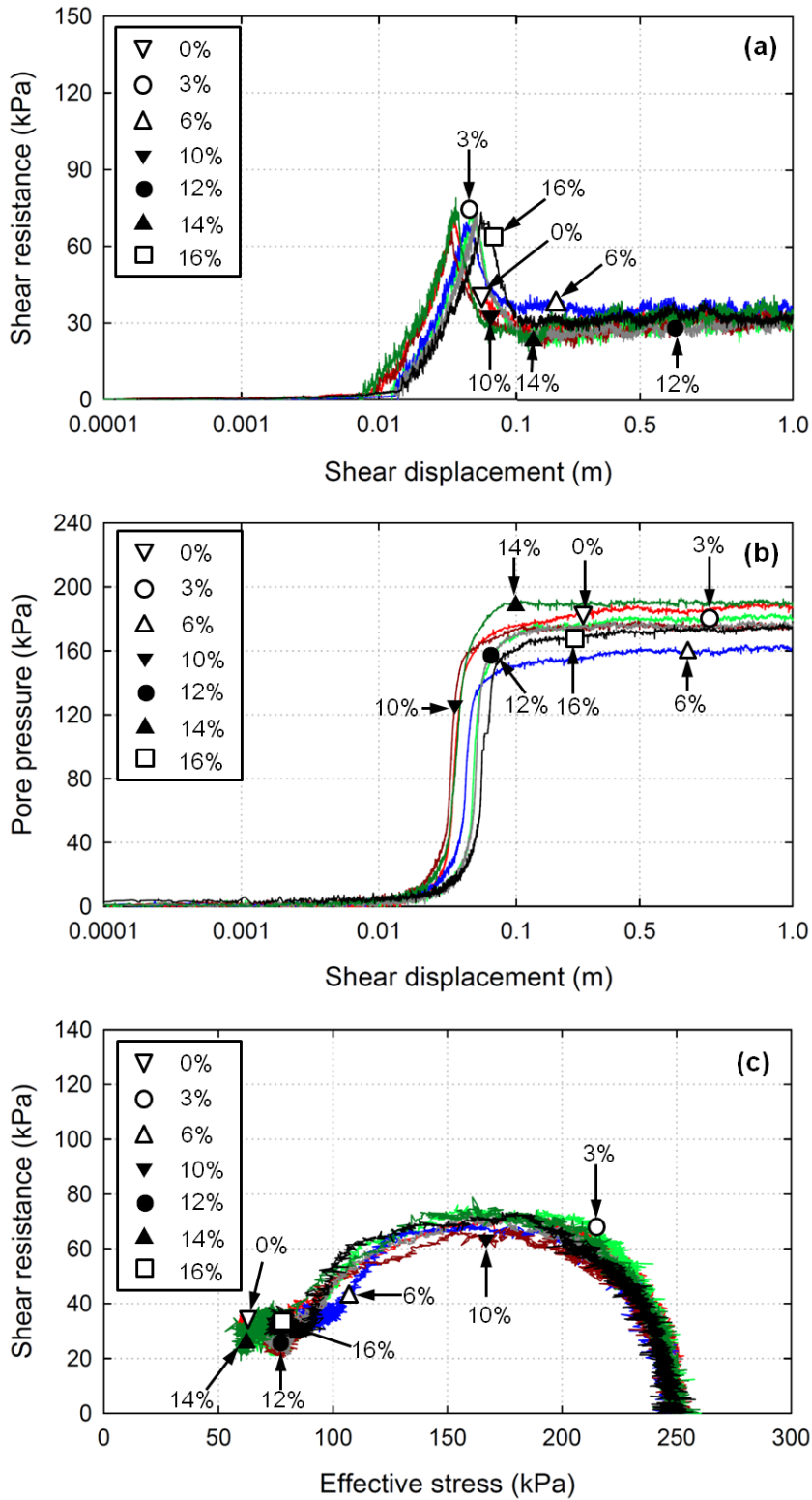


Fig. 10. Undrained shear test results for retrieved samples (T<sub>8</sub>-T<sub>13</sub>) and the original sample (T<sub>1</sub>). (a) Shear resistance versus shear displacement; (b) pore pressure versus shear displacement; (c) effective stress path

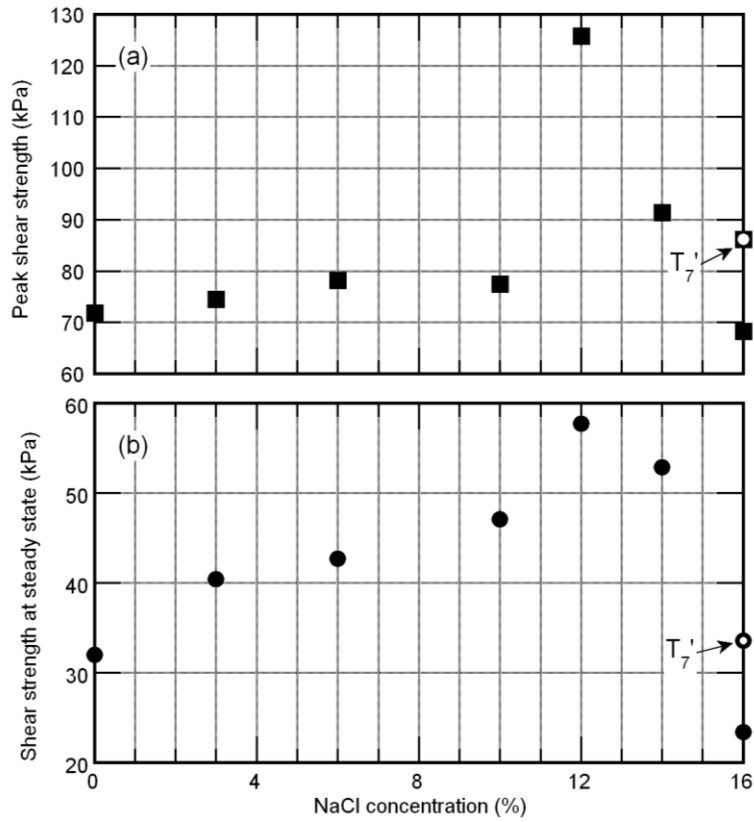


Fig. 11. Undrained peak shear strength (a), and shear strength at steady state (b), against NaCl concentrations (tests  $T_1$ - $T_7$  in Table 3).

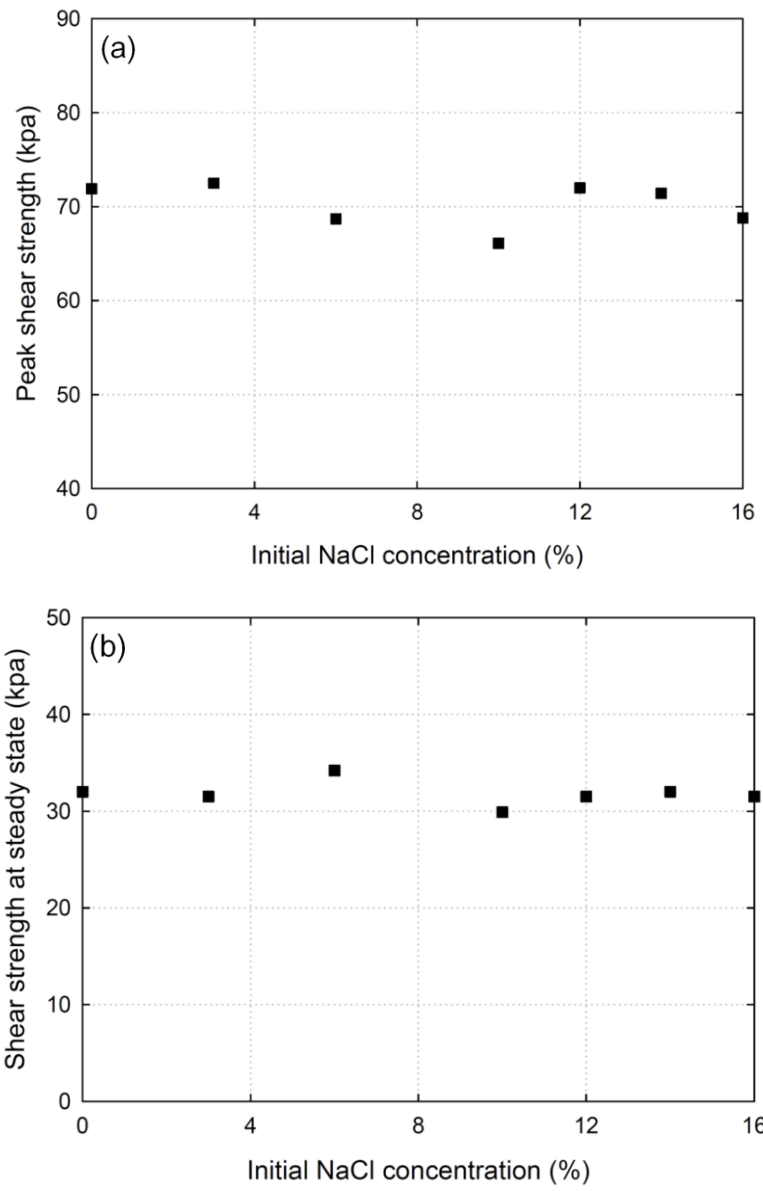


Fig. 12. Results of undrained shear tests on the desalinized samples that were retrieved from tests T2~T7. Here the initial NaCl concentration (%) indicates that of the solution used to saturate the samples in T2~T7, respectively. (a) Peak shear strength; (b) shear strength at steady state.



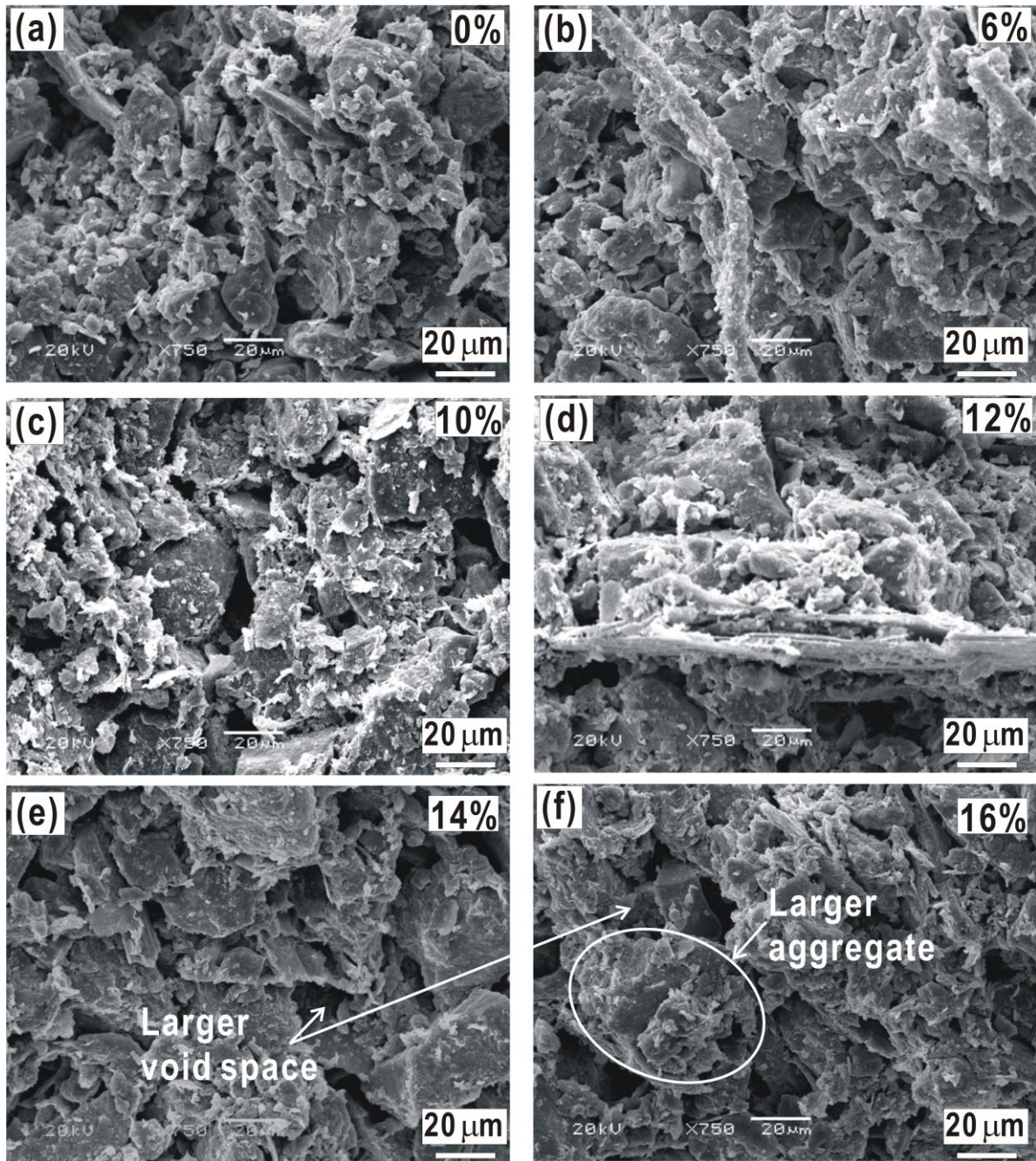


Fig. 13. SEM images of the samples saturated by different NaCl concentrations. (a) 0%; (b) 6%, (c) 10%; (d) 12%; (e) 12%, and (f) 16%.



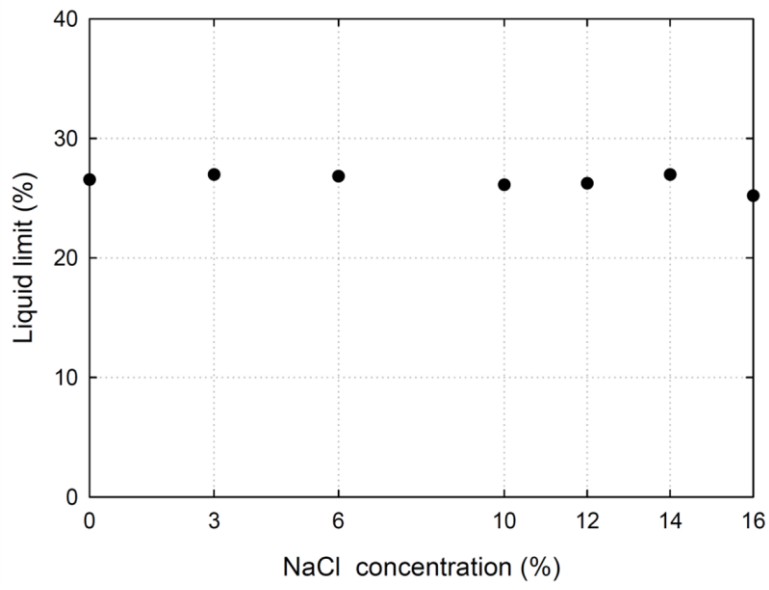


Fig. 14. Liquid limit against NaCl concentration